



2611

ATTORNEY DOCKET NO. 8030-002

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

#5
BA1279103

Inventor(s): Richard W. Kay, et al.

Serial No.: 09/823,218

Examiner: Not yet assigned

Filing Date: March 29, 2001

Group Art Unit: 2611

Title: DIGITAL CONTENT DLIVER SYSTEM TRANSACTION ENGINE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RECEIVED
OCT 08 2003
Technology Center 2600

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97 (b); or
(Within three months of filing national application; or date of entry of International application;
or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97 (c) together with either a:
 - ☐ Statement under 37 CFR 1.97 (e), or
 - ☐ a \$180 fee under 37 CFR 1.17 (p); or(After mailing of first Office Action, but prior to Notice of Allowance or Final Office Action)
- ☐ under 37 CFR 1.97 (d) together with:
 - ☐ Statement under 37 CFR 1.97 (e), and
 - ☐ a \$180.00 fee set forth in 37 CFR 1.17 (p).(Filed after final action or notice of allowance, whichever occurs first, but before payment of

the issue fee)

☒ Applicant(s) submit herewith Form PTO 1449- Information Disclosure Citation together with copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

It is requested that the information disclosed herein be made of record in this application.

Any deficiency or overpayment should be charged or credited to deposit account number 13-1703. A duplicate copy of this sheet is enclosed.

Customer No. 20575

Respectfully submitted,

MARGER JOHNSON & McCOLLOM, P.C.

Ariel S. Rogson
Reg. No. 43,054

MARGER JOHNSON & McCOLLOM, P.C.
1030 SW Morrison Street
Portland, OR 97205
503-222-3613

I HEREBY CERTIFY THAT
THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE
UNITED STATES POSTAL
SERVICE AS FIRST CLASS
MAIL IN AN ENVELOPE
ADDRESSED TO:

☐ COMMISSIONER OF PATENTS
AND TRADEMARKS WASHINGTON
D.C. 20231

☒ MAIL STOP
COMMISSIONER FOR PATENTS
BOX 1450
ALEXANDRIA, VA 22313-1450

☐ BOX
COMMISSIONER
FOR TRADEMARKS 2800@CRYSTAL
DRIVE ARLINGTON, VA 22202-3513

09/29/2003
Ariel S. Rogson



PATENT APPLICATION

Atty. Docket No.: 8030-002
Applicant: Richard W. Kay, et al.
Filing Date: March 19, 2001

Serial No. 09/823,218
Group: 2611

INFORMATION DISCLOSURE CITATION
FORM PTO-1449 (Modified)

RECEIVED
OCT 08 2003
Technology Center

U.S. PATENT DOCUMENTS

Exam <u>Init</u>	<u>Ref</u>	Document <u>Number</u>	Issue <u>Date</u>	<u>Name</u>	<u>Class</u>	Sub <u>Class</u>
_____	_____	6,134,549	Oct. 17, 2000	Regnier et al.		
_____	_____					
_____	_____					
_____	_____					

FOREIGN PATENT DOCUMENTS

Exam <u>Init</u>	<u>Ref</u>	Document <u>Number</u>	Publication <u>Date</u>	<u>Country</u>	<u>Name</u>
_____	_____				
_____	_____				
_____	_____				
_____	_____				

OTHER DOCUMENTS

Exam <u>Init</u>	<u>Ref</u>	<u>Author, Title, Date, Pertinent Pages, Etc.)</u>
---------------------	------------	--

Examiner: _____

Date Considered: _____